

STATEMENT UNDER 37 CFR § 3.73(b)Applicant/Patentee: Xiao-Jing Lu, Gary J. Gauer, David A. Jacob, and Erich MinierApplication No./Patent No.: 10/625,459 / 7,371,339 Filed/Issue Date: July 23, 2003 / May 13, 2008For: A Prismatic Retroreflector Having a Multi-Plane FacetReflexite Corporation

(Name of Assignee)

, a

corporation

Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is

- A. ☒ the assignee of the entire right, title and interest in the patent application identified above; or
- B. ☐ an assignee together with [ ] of the entire right, title and interest in the patent application identified above.

The right, title and interest of the above-named assignee in the patent application identified above is established by virtue of:

- A. ☐ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frames \_\_\_\_\_, or a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

1. From: Xiao-Jing Lu, Gary J. Gauer, David A. Jacob and Erich Minier To: Reflexite Corporation  
The document was recorded in the United States Patent and Trademark Office at  
Reel 012064, Frame 0375-0379, or a copy thereof is attached.
2. From: \_\_\_\_\_ [ ] \_\_\_\_\_ To: \_\_\_\_\_ [ ] \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_ [ ] \_\_\_\_\_, Frame \_\_\_\_\_ [ ] \_\_\_\_\_, or a copy thereof is attached.
3. From: \_\_\_\_\_ [ ] \_\_\_\_\_ To: \_\_\_\_\_ [ ] \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_ [ ] \_\_\_\_\_, Frame \_\_\_\_\_ [ ] \_\_\_\_\_, or a copy thereof is attached.

- ☒ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature Name: Steven M. ScottTitle: Vice President for TechnologyDate: 4/9/09

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Additional documents in the chain of title:

From: Reflexite Corporation To: Bank of America, N.A., Successor by  
Merger to Fleet National Bank

The document was recorded in the United States Patent and Trademark Office at  
Reel 022086, Frames 0447-0457, or a copy thereof is attached.

From: Reflexite Corporation To: Ares Capital Corp.

The document was recorded in the United States Patent and Trademark Office at  
Reel 022086, Frames 0665-0681, or a copy thereof is attached.